# CS242 Final Fall 2023

- Please read all instructions (including these) carefully.
- There are 5 questions on the exam, all with multiple parts. You have 3 hours to work on the exam.
- The exam is open note. You may use laptops, phones and e-readers to read electronic notes, but not for computation or access to the internet for any reason.
- Please write your answers in the space provided on the exam, and clearly mark your solutions. Do not write on the back of exam pages or other pages.
- Solutions will be graded on correctness and clarity. Each problem has a relatively simple and straightforward solution. You may get as few as 0 points for a question if your solution is far more complicated than necessary. Partial solutions will be graded for partial credit.

NAME: \_\_\_\_\_

In accordance with both the letter and spirit of the Honor Code, I have neither given nor received assistance on this examination.

SIGNATURE: \_\_\_\_\_

Problem	Max points	Points
1	18	
2	24	
3	24	
4	14	
5	24	
TOTAL	104	

#### 1. Lifetimes (18 points)

Consider the following Rust programs. Each program has several holes labeled '?'. For each proposed signature with the holes filled in, answer  $\mathbf{T}$  if the program would type check with that signature and F otherwise. Assume that '...' is some valid boolean expression.

```
(a) fn swap<?>(x: &? i32, y: &? i32) -> (&? i32, &? i32) {
    (y, x)
}
i. swap<'a, 'b>(x: &'a i32, y: &'b i32) -> (&'a i32, &'b i32)
    T/F: F
ii. swap<'a, 'b>(x: &'a i32, y: &'b i32) -> (&'b i32, &'a i32)
    T/F: T
iii. swap<'a>(x: &'a i32, y: &'a i32) -> (&'a i32, &'a i32)
    T/F: T
```

- (b) fn swap<?>(x: &? i32, y: &? i32) -> (&? i32, &? i32) {
   if ... { (x, y) } else { (y, x) }
  - }
    - i. swap<'a, 'b>(x: &'a i32, y: &'b i32) -> (&'a i32, &'b i32) T/F: F
  - ii. swap<'a, 'b>(x: &'a i32, y: &'b i32) -> (&'b i32, &'a i32)
    T/F: F
  - iii. swap<'a>(x: &'a i32, y: &'a i32) -> (&'a i32, &'a i32)
    T/F:

```
(c) fn swap<?>(x: &? str, y: &? str) -> (&? str, &? str) {
       (y, x)
   }
   fn main() {
       let r1: &'static str = "hello";
       let r3 = \{
           let s = String::new("world");
           // String::as str has the following signature:
           // as_str<'a>(&'a self) -> &'a str
           let (r2, _) = swap(s.as_str(), r1);
           r2
       };
       println!("{r3}");
   }
    i. swap<'a, 'b>(x: &'a str, y: &'b str) -> (&'a str, &'b str)
      T/F:
             F
    ii. swap<'a, 'b>(x: &'a str, y: &'b str) -> (&'b str, &'a str)
      T/F:
             Т
   iii. swap<'a>(x: &'a str, y: &'a str) -> (&'a str, &'a str)
      T/F:
             F
```

#### 2. **Ownership** (24 points)

Much research has been dedicated to the study of ownership type systems as a tool to manage resources such as memory. The type rules below show an early ownership system known as *affine types* for the simply typed lambda calculus (STLC). The basic idea behind affine types is that a variable can be used at most once.

The type judgements have the form  $\Gamma \vdash e : t ; \Gamma'$ , so each rule results in a new context as well as a type. Rules are read: given the unused variables  $\Gamma$ , evaluating expression e yields a value of type t and leaves variables  $\Gamma'$  unused. We use  $\Gamma \setminus \{x\}$  to denote the context  $\Gamma$  with any binding for x removed, should it exist. For simplicity, the typing rules enforce that there is no variable *shadowing*—any variable bound by a lambda abstraction is not bound by any other lambda abstraction nested inside it (see ABS).

$$t \in \text{Type} ::= \text{int} \mid t \to t$$
$$i \in \text{Int} ::= 0 \mid 1 \mid \cdots$$
$$e \in \text{Expr} ::= i \mid x \mid \lambda x: t. e \mid e_1 e_2$$
$$\Gamma \in \text{Context} ::= x_1 : t_1, \dots, x_n : t_n$$

$$\frac{x \notin \Gamma \quad \Gamma, x: t_1 \vdash e: t_2; \Gamma'}{\Gamma \vdash i: \mathsf{int}; \Gamma} \quad [\mathsf{INT}] \qquad \frac{x \notin \Gamma \quad \Gamma, x: t_1 \vdash e: t_2; \Gamma'}{\Gamma \vdash \lambda x: t_1. e: t_1 \to t_2; \Gamma' \setminus \{x\}} \quad [\mathsf{ABS}]$$
$$\frac{x: t \in \Gamma}{\Gamma \vdash x: t; \Gamma \setminus \{x\}} \quad [\mathsf{VAR}] \qquad \frac{\Gamma \vdash e_1: t_1 \to t_2; \Gamma' \quad \Gamma' \vdash e_2: t_1; \Gamma''}{\Gamma \vdash e_1 e_2: t_2; \Gamma''} \quad [\mathsf{APP}]$$

(a) Write a lambda expression that is well-typed in the ordinary STLC (without shadowing), but not well-typed in the affine STLC.

 $\lambda f$ : int  $\rightarrow$  int. f(f 0)

(b) Consider adding let expressions to the affine STLC:

$$t \in \text{Type} ::= \text{int} \mid t \to t$$
$$i \in \text{Int} ::= 0 \mid 1 \mid \cdots$$
$$e \in \text{Expr} ::= i \mid x \mid \lambda x: t. e \mid e_1 e_2 \mid \text{let } x = e_1 \text{ in } e_2$$
$$\Gamma \in \text{Context} ::= x_1 : t_1, \dots, x_n : t_n$$

Give an affine type rule for let compatible with the other rules (given above) for an affine type system. Ensure there is no shadowing.

$$\frac{x \notin \Gamma \quad \Gamma \vdash e_1 : t_1 ; \Gamma' \quad \Gamma', x : t_1 \vdash e : t_2 ; \Gamma''}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : t_2 ; \Gamma'' \setminus \{x\}} \quad [\text{Let}]$$

### 3. Continuations (24 points)

The "with"-pattern is a popular resource management abstraction in functional languages. Consider a hypothetical Scheme/Racket dialect that provides a higher-order function with-open-file, which accepts 2 arguments: a path and a function f. When called, with-open-file opens a file object at the given file path, then calls function f with this file object, and finally closes the file object after f returns.

For example, the following code uses with-open-file to open 123.txt and reads its contents. read-file is a built-in function that reads the contents of an *open* file object.

```
(with-open-file "123.txt"
   (lambda (file) (read-file file)))
```

The following is an implementation of with-open-file. Built-in function open-file opens a file object at a given path, and close-file closes a file object. Once close-file is called on a file object, it is no longer open and cannot be used for file operations, such as read-file.

```
(define (with-open-file path f)
  (let* ((file (open-file path))
                    (return-value (f file)))
        (close-file file)
        return-value))
```

In this example, with-open-file calls each of open-file and close-file exactly once. In fact, with-open-file is guaranteed to issue matching pairs of open-file and close-file calls no matter what computation we do in f, as long as call/cc is not involved.

For each of the following expressions, answer how many times open-file and close-file are called during evaluation. Assume that erroneous file operations such as using read-file on a closed file object are no-ops and do not terminate the program:

```
(b) (call/cc
     (lambda (k)
       (with-open-file "123.txt"
          (lambda (file)
            (k (read-file file)))))
   open-file is called
                        1
                               times, close-file is called
                                                           0
                                                                  times.
(c) (let* ((count 0)
           (k #f))
      (with-open-file "123.txt"
        (lambda (file)
          (read-file file)
          (call/cc (lambda (k1) (set! k k1)))))
      (set! count (+ count 1))
      (if (< count 10)
          (k #f)
          #f))
   open-file is called
                               times, close-file is called
                                                                  times.
                        1
                                                          10
(d) (let* ((count 0)
           (k #f))
      (call/cc (lambda (k1) (set! k k1)))
      (with-open-file "123.txt"
        (lambda (file)
          (read-file file)
          (set! count (+ count 1))
          (if (< count 10)
              (k #f)
              #f))))
   open-file is called
                        10
                               times, close-file is called
                                                                  times.
                                                           1
```

In the following questions, we explore how we can guarantee with-open-file executes a matching close for every open even in the presence of call/cc. Fill in the blank boxes to make each expression:

- Maintain matching pairs of calls to open-file and close-file, i.e. close is only called on open files and all open file objects are eventually closed.
- Guarantee that read-file is only ever called with an open file object.

If the expression already satisfies the above properties, fill in **#f**. The reference answer contains one line of code for each blank box.

Hint: the following expressions correspond one-to-one with the expressions we considered in the first part of this problem. The only modification is that we replace some captured

```
continuations k with "wrapped" continuations (lambda (v) (k v)), so that you can run custom setup/cleanup code when these "wrapped" continuations are invoked.
```

```
(e) (let* ((count 0)
           (k #f))
     (call/cc (lambda (k1)
                  (set! k (lambda (v)
                               #f
                             (k1 v)))))
     (with-open-file "123.txt"
        (lambda (file) (read-file file)))
     (set! count (+ count 1))
     (if (< count 10)
          (k #f)
         #f))
(f) (call/cc
    (lambda (k)
      (with-open-file "123.txt"
          (lambda (file)
            ((lambda (v)
                 (close-file file)
               (k v))
             (read-file file))))))
```

```
(g) (let* ((count 0)
           (k #f))
     (with-open-file "123.txt"
        (lambda (file)
          (read-file file)
          (call/cc (lambda (k1)
                     (set! k (lambda (v)
                                 (set! file (open-file "123.txt"))
                               (k1 v))))))
     (set! count (+ count 1))
     (if (< count 10)
          (k #f)
          #f))
(h) (let* ((count 0)
           (k #f))
     (call/cc (lambda (k1) (set! k k1)))
     (with-open-file "123.txt"
        (lambda (file)
          (read-file file)
          (set! count (+ count 1))
          (if (< count 10)
              ((lambda (v)
                   (close-file file)
                 (k v))
              #f)
              #f))))
```

## 4. Monads & Haskell (14 points)

(a) T/F: the following function types are equivalent to "a -> b -> c -> d":

(b) Recall the following identity for do-notation:

is equivalent to

e1 >>= \a -> e2

Convert the following Haskell expression from do-notation to use (>>=) and return: Recall that a lambda is declared in Haskell through the syntax ( $\arg 1 \arg 2 \arg 3 \rightarrow \ldots$ )

```
convertMe f y g h m k = do x <- f y
    let z = g x y
    in if z
        then do h
            m x
        else return ()
    n <- return x
    n k</pre>
```

#### 5. Applicative vs. Monad (24 points)

putStrStderr :: String -> IO () -- prints a string to stderr putStrStderr s = hPutStr stderr s putStrStdout :: String -> IO () -- prints a string to stdout putStrStdout s = hPutStr stdout s not :: Bool -> Bool not True = False not False = True trueVal :: IO Bool trueVal = putStrStdout "trueVal," >> return True falseVal :: IO Bool falseVal = putStrStdout "falseVal," >> return False trueBranch :: IO Char trueBranch = putStrStdout "trueBranch," >> return 'T' falseBranch :: IO Char falseBranch = putStrStdout "falseBranch," >> return 'F' cond :: Bool  $\rightarrow$  a  $\rightarrow$  a  $\rightarrow$  a cond True t f = tcond False t f = f-- prints a char to stderr (remember that String is an alias for [Char]) printResult :: Char -> IO () printResult x = putStrStderr [x] class Functor m where fmap :: (a -> b) -> m a -> m b -- infix shorthand for fmap (<>) :: Functor m => (a -> b) -> m a -> m b (<\$>) = fmap class Functor m => Applicative m where (<\*>) :: m (a -> b) -> m a -> m b pure :: a -> m a class Applicative m => Monad m where (>>=) :: m a -> (a -> m b) -> m b return :: a -> m a

Implement the following functions in a way that satisfies the given type signature and example result. You may only use core language constructs of Haskell (lambdas, if-then, etc.), i.e., no external functions outside of those defined above. No solutions require letin or where clauses, so these should also not be used. If the solution is the same as a previous part (for example, part 3), write "same as part 3". If no such function can be implemented, write "not possible". To improve readability, everything that matches part 1 has been marked in light gray in subsequent parts.

```
part1 :: Applicative m => m Bool -> m b -> m b description: applicative default
(part1 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,falseBranch,"
-- stderr: "T"
(part1 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,trueBranch,falseBranch,"
-- stderr: "F"
```

part1 cc tt ff = | cond <\$> cc <\*> tt <\*> ff

```
part2 :: Monad m => m Bool -> m b -> m b -> m b
description: monad default
(part2 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,falseBranch,"
-- stderr: "T"
(part2 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,trueBranch,falseBranch,"
-- stderr: "F"
```

```
part2 cc tt ff = Same as part 1
```

```
part3 :: Applicative m => m Bool -> m b -> m b -> m b
description: description: applicative fixed return
(part3 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,falseBranch,"
-- stderr: "F"
(part3 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,trueBranch,falseBranch,"
-- stderr: "F"
```

```
part3 cc tt ff = (\_ _ ff' \rightarrow ff') < cc <*> tt <*> ff
```

```
part4 :: Monad m => m Bool -> m b -> m b -> m b
description: monad fixed return
(part4 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,falseBranch,"
-- stderr: "F"
(part4 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,trueBranch,falseBranch,"
-- stderr: "F"
```

```
part4 cc tt ff = Same as part 3
```

```
part5 :: Applicative m => m Bool -> m b -> m b -> m b
description: applicative conditional side effect
(part5 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,"
-- stderr: "T"
(part5 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,falseBranch,"
-- stderr: "F"
```

```
part5 cc tt ff = Not possible
```

```
part6 :: Monad m => m Bool -> m b -> m b -> m b
description: monad conditional side effect
(part6 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,"
-- stderr: "T"
(part6 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,falseBranch,"
-- stderr: "F"
```

```
part6 cc tt ff = cc >>= (\c -> cond c tt ff)
```

```
part7 :: Applicative m => m Bool -> m b -> m b -> m b
description: applicative fixed return & conditional side effect
(part7 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,"
-- stderr: "F"
(part7 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,falseBranch,"
-- stderr: "F"
```

```
part7 cc tt ff = Not possible
```

```
part8 :: Monad m => m Bool -> m b -> m b -> m b
description: monad fixed return & conditional side effect
(part8 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,"
-- stderr: "F"
(part8 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,falseBranch,"
-- stderr: "F"
```

```
part8 cc tt ff = Not possible
```

```
part9 :: Applicative m => m Bool -> m b -> m b -> m b
description: applicative no cond
(part9 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueBranch,falseBranch,"
-- stderr: "T"
(part9 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "trueBranch,falseBranch,"
-- stderr: "F"
```

#### part9 cc tt ff = Not possible

```
part10 :: Monad m => m Bool -> m b -> m b -> m b
description: monad no cond
(part10 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueBranch,falseBranch,"
-- stderr: "T"
(part10 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "trueBranch,falseBranch,"
-- stderr: "F"
```

```
part10 cc tt ff = Not possible
```

```
part11 :: Applicative m => m Bool -> m b -> m b -> m b
description: applicative conditional side effect order
(part11 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,falseBranch,"
-- stderr: "T"
(part11 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,falseBranch,trueBranch,"
-- stderr: "F"
```

```
part11 cc tt ff = Not possible
```

```
part12 :: Monad m => m Bool -> m b -> m b -> m b
description: monad conditional side effect order
(part12 trueVal trueBranch falseBranch) >>= printResult
-- stdout: "trueVal,trueBranch,falseBranch,"
-- stderr: "T"
(part12 falseVal trueBranch falseBranch) >>= printResult
-- stdout: "falseVal,falseBranch,trueBranch,"
-- stderr: "F"
```

```
part12 cc tt ff = cc >>= (\c -> if c
    then (tt >>= (\t -> ff >>= (\_ -> return t)))
    else (ff >>= (\f -> tt >>= (\_ -> return f))))
```